

..... Branch

 As required under the Financial Institutions (Customer Due Diligence) Rules,  
 No. 01 of 2016 issued by the Financial Intelligence Unit of Central Bank of Sri  
 Lanka in terms of Section 02 of the Financial Transactions Reporting Act, No 06  
 of 2006

Account No (s)

Date

Client Code

Branch

**PERSONAL DETAILS**

Full Name : MR/MRS/MISS/DR/REV (Please underline surname)																								
National Identity Card No (NIC) (Indicate valid passport number in the case of foreign nationals)													Date of Birth:											
Nationality	<input type="checkbox"/> Sri Lankan <input type="checkbox"/> Resident <input type="checkbox"/> Non Resident - Country of Residence ..... <input type="checkbox"/> Sri Lankan with Dual Citizenship    Country ..... <input type="checkbox"/> Foreign National with dual citizenship / resident in or employed in Sri Lanka Country..... Visa Expiry Date .....																							

**CONTACT INFORMATION**

Permanent address																																																				
Mailing address																																																				
Foreign address																																																				
Contact No:	Mobile:													Office:													Fax:													Email:												

**EMPLOYMENT INFORMATION**

Employment status	Self employed												Part-time employed												Retired											
	Full-time employed												Not currently employed												Others (Specify) .....											
Occupation / Position held																																				
Name of the employer																																				
Address of the employer																																				
Nature of business	Manufacturing												Import/Export												Hotel/Boarding house											
	Finance/Insurance												Wholesale												Gem and Jewelry											
	Construction												Communication												Casino / Gambling house / Night Clubs											
	Retail												Business Service												Personal & Household Service											
	Transport												Real Estate												Others (Specify) .....											
	Restaurants												Public Services																							

**FAMILY INFORMATION**

Marital status	<input type="checkbox"/> Married												<input type="checkbox"/> Single												<input type="checkbox"/> Other											
Name of spouse																																				
Spouse's occupation/ Position held																																				
Spouse's employer																																				
No. of children (Dependant)																																				

**OTHER INFORMATION**

Ownership of wealth (If property is on rent/ Lease, please indicate)	Residential property												Financial assets											
	Business premises												Investments											
	Motor Vehicles												Other (Specify)											
Source of wealth:	Business/ Ownership												Inheritance											
Wealth generated from	Investments												Others											
	Profession/ Employment												(Specify) .....											
Other connected business/ Professional activities and interest																								
Are you or any of your immediate family is a politically exposed person (Pep)? (Refer definition below)	<input type="checkbox"/> Yes												<input type="checkbox"/> No											
If yes please specify																								

**FIU Definition**

**PEP's (Politically Exposed Persons)** - Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions. E.g. Head of State or government, Senior politicians, Senior government, judicial or military officials, senior executives of state owned corporations, important political party officials.

**Immediate Family Member** - Includes the spouse, children and their spouses or parents, siblings and their spouses and grandchildren and their spouses.

## EXPECTED MODE OF TRANSACTIONS

☐ Cash ☐ Cheque ☐ Electronic Fund Transfer ☐ Other (Please Specify) .....

## PURPOSE FOR OPENING, MAINTAINING AND THE ACCOUNT USAFE

☐ Savings ☐ Utility Bill Payment ☐ Loan Repayment  
☐ Investment Purpose ☐ Business Transactions ☐ Share Transaction  
☐ Employment/ Professional Income ☐ Family Remittance ☐ Other .....

Foreign Passport holders (Dual Citizens / Resident in or Employed in Sri Lanka)  
(Please give the reason for opening the account in Sri Lanka)

## SOURCE OF FUNDS EXPECTED SOURCE AND NATURE OF CREDITS INTO THE ACCOUNT (AS APPROPRIATE)

☐ Family Remittances ☐ Commission Income ☐ Contract Proceeds ☐ Sale/ Business Turnover  
☐ Investment Proceeds ☐ Sale of property/assets ☐ Gift ☐ Salary/ Profit Income  
☐ Others (Please specify) .....

## AVERAGE MONTHLY INCOME

☐ Less than 50,000 ☐ 100,001 to 250,000 ☐ 500,001 to 1,000,000  
☐ 50,001 to 100,000 ☐ 250,001 to 500,000 ☐ More than 1,000,000

## ANTICIPATED VOLUMES : EXPECTED/USUAL AVERAGE VOLUMES OF DEPOSITS INTO THE ACCOUNT IN RUPEES PER MONTH

\* Expected / Usual average volumes of deposits into the account in rupees per month  
☐ Less than 100,000 ☐ 500,001 to 1,000,000 ☐ 5,000,001 to 10,000,000  
☐ 100,001 to 500,000 ☐ 1,000,001 to 5,000,000 ☐ More than 10,000,000

## DECLARATION OF THE CUSTOMER

I confirm that the details given above are true and correct.

Signature

Date:.....

## MANDATORY CHECKS (FOR OFFICE USE ONLY)

1. Name, Date of Birth and Nationality Verification: To be supported by one of the following.

☐ National Identity Card ☐ Passport (Unexpired)  
☐ Driving License ☐ Marriage Certificate ( Name Change)

2. Address Verification: Residential address to be supported by one of the following accepted documents

(N.B - Mobile phone bills are not accepted)

☐ National Identity Card ☐ Bank Statement (Within 3 Month) ☐ Letter from a public authority  
☐ Tenancy agreement ☐ Utility bill (Specify) ..... ☐ Income Tax Receipt/ Assessment Notice  
☐ Driving License ☐ Employment Contract ☐ Other (Specify) .....  
☐ Any Other Identification Document

(Photocopies of the above documents should be obtained and certified the Company Officer as 'Original Seen')

3. Does the customer appear in a Suspected Terrorist List (Sanction List - UNSCR 1373 / 1267) or any other Alert List:

☐ Yes ☐ No ☐ If yes(Specify):.....

4. If customer is opening account at a branch that is away from their permanent address, please mention the reason.....

Documents Reviewed by..... (Signature) Emp No

Authorized by..... (Signature) Emp No

Bhagya print Solution 0112 931 365

## SYSTEM ENTRY

Input by

Checked by

Activated by

Scanned by